

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Hiroaki TSUGANE and Hisakatsu SATO

Title: SEMICONDUCTOR DEVICES AND METHODS FOR MANUFACTURING THE SAME

Enclosed are:

☒ 33 Pages of text and 22 Sheet(s) of drawings (☒ formal ☐ informal) + 0 extra copies  
 A certified copy of 2000-5336, filed 14 Jan. 2000, in Japan, from which priority is claimed in the subject case pursuant to Rule 55(b) and 35 USC 119. ☒ Will follow.  
 Declaration and Power of Attorney. ☒ Will Follow.

CALCULATION OF FEES					
ITEM		NUMBER OF CLAIMS FILED MINUS BASE*	NUMBER OF CLAIMS OVER BASE x RATE SM/LG ENTITY	\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	16 - 20* =	x \$9.00 or 0 x \$18.00		
B	INDEPENDENT CLAIMS FEE**	3 - 3* =	x \$40.00 or 0 x \$80.00		
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)				\$0
D	BASIC FEE – SMALL ENTITY FEE = \$355.00 / LARGE ENTITY FEE = \$710				\$710.00
E	MULTIPLE-DEPENDENT CLAIMS FEE – SMALL ENTITY FEE = \$130.00 /LARGE ENTITY FEE = \$260				\$0
F	ASSIGNMENT RECORDING FEE \$ 40.00				\$0
G	TOTAL FEE (ADD LINES C, D, E AND F)				\$710.00
**LIST INDEPENDENT CLAIMS 1, 5, 15					

☒ A check in the amount of \$ 710.00 to cover the filing fee is enclosed. **A copy of this letter is enclosed.**

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0585. **A duplicate copy of this sheet is enclosed.**

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

— The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-0585. **A copy of this letter is enclosed.**

— Any patent application processing fees under 37 CFR 1.17.

— The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

— Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

Alan S. Raynes  
 Alan S. Raynes, Reg. No. 39,809

Date: January 13, 2001

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1c987 U.S. PTO  
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10531 U.S. PTO

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of )  
TSUGANE, et al. )  
Serial No.: **To Be Assigned** )  
Filing Date: )  
For: **SEMICONDUCTOR DEVICES AND** )  
**METHODS FOR MANUFACTURING** )  
**THE SAME** )

Assistant Commissioner for Patents  
Washington, DC 20231

Express Mail Label No.: EL 484 106 596 US  
Date of Deposit: January 13, 2001

I hereby certify that a utility patent application and patent application fee transmittal; patent application including 33 pages of text (including an abstract and 16 claims), 22 sheets of drawings; a \$710 check for the filing fee; and a return postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

January 13, 2001  
Date of Deposit

Alan S. Raynes  
Name of person mailing papers

Alan S. Raynes  
Signature